# ENVIRONMENTAL RESEARCH

# **LETTER • OPEN ACCESS**

CO<sub>2</sub> fertilization of crops offsets yield losses due to future surface ozone damage and climate change

To cite this article: Felix Leung et al 2022 Environ. Res. Lett. 17 074007

View the article online for updates and enhancements.

# You may also like

- <u>Compensatory climate effects link trends</u> in global runoff to rising atmospheric CO<sub>2</sub> <u>concentration</u>
   Hui Yang, Chris Huntingford, Andy Wiltshire et al.
- <u>Nitrogen cycle impacts on CO<sub>2</sub> fertilisation</u> and climate forcing of land carbon stores Chris Huntingford, Eleanor J Burke, Chris D Jones et al.
- <u>A review of the major drivers of the</u> terrestrial carbon uptake: model-based assessments, consensus, and uncertainties

Thejna Tharammal, Govindasamy Bala, Narayanappa Devaraju et al.



This content was downloaded from IP address 3.133.117.70 on 30/05/2024 at 05:23

# ENVIRONMENTAL RESEARCH LETTERS

# CrossMark

#### **OPEN ACCESS**

**RECEIVED** 27 November 2021

REVISED 2 May 2022

ACCEPTED FOR PUBLICATION 23 May 2022

PUBLISHED 16 June 2022

Original content from this work may be used under the terms of the Creative Commons Attribution 4.0 licence.

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.



# CO<sub>2</sub> fertilization of crops offsets yield losses due to future surface ozone damage and climate change

Felix Leung<sup>1,3,\*</sup>, Stephen Sitch<sup>1</sup>, Amos P K Tai<sup>3,4</sup>, Andrew J Wiltshire<sup>2</sup>, Jemma L Gornall<sup>2</sup>, Gerd A Folberth<sup>2</sup> and Nadine Unger<sup>5</sup>

- <sup>1</sup> College of Life and Environmental Sciences, University of Exeter, Exeter, United Kingdom
- <sup>2</sup> Met Office Hadley Centre, FitzRoy Road, Exeter, Devon, United Kingdom <sup>3</sup> Farth System Science Programme, Faculty of Science, and Institute of Fauit
  - Earth System Science Programme, Faculty of Science, and Institute of Environment, Energy and Sustainability, The Chinese University of Hong Kong, Hong Kong, People's Republic of China
  - State Key Laboratory of Agrobiotechnology, The Chinese University of Hong Kong, Hong Kong, People's Republic of China
  - Nanjing University of Information, Science and Technology, Nanjing, People's Republic of China
- \* Author to whom any correspondence should be addressed.

E-mail: felix.leung@cuhk.edu.hk

Keywords: ozone, climate change, crop yield, CO<sub>2</sub> fertilization

Supplementary material for this article is available online

#### Abstract

LETTER

Tropospheric ozone  $(O_3)$  is harmful to plant productivity and negatively impacts crop yields.  $O_3$ concentrations are projected to decrease globally in the optimistic Representative Concentration Pathway of 2.6 W m<sup>-2</sup> (RCP2.6) but increase globally following the high-emission scenario under the RCP8.5, with substantial implications for global food security. The damaging effect of  $O_3$  on future crop yield is affected by CO<sub>2</sub> fertilization and climate change, and their interactions for RCP scenarios have yet to be quantified. In this study, we used the Joint UK Land Environment Simulator modified to include crops (JULES-crop) to quantify the impacts, and relative importance of present-day and future  $O_3$ ,  $CO_2$  concentration and meteorology on crop production at the regional scale until 2100 following RCP2.6 and RCP8.5 scenarios. We focus on eight major crop-producing regions that cover the production of wheat, soybean, maize, and rice. Our results show that CO<sub>2</sub> alone has the largest effect on regional yields, followed by climate and O<sub>3</sub>. However, the  $CO_2$  fertilization effect is offset by the negative impact of tropospheric  $O_3$  in regions with high O<sub>3</sub> concentrations, such as South Asia and China. Simulated crop yields in 2050 were compared with Food and Agriculture Organisation (FAO) statistics to investigate the differences between a socioeconomic and a biophysical process-based approach. Results showed that FAO estimates are closer to our JULES-crop RCP8.5 scenario. This study demonstrates that air pollution could be the biggest threat to future food production and highlights an urgent policy need to mitigate the threat of climate change and O<sub>3</sub> pollution on food security.

## 1. Introduction

Ground-level ozone  $(O_3)$  is one of the leading air pollutants that substantially threatens human health and plant productivity (Tai *et al* 2014, Sadiq *et al* 2017, Leung *et al* 2020a). It is mainly produced photochemically from anthropogenic precursor gases, including carbon monoxide (CO), volatile organic compounds and nitrogen oxides (NO<sub>x</sub>), which are mainly emitted from fossil fuel combustion. The phytotoxicity of  $O_3$  has been shown to damage photosynthesis, reduce gas exchange, induce early leaf senescence, and inhibit growth in both natural vegetation and crops (Feng *et al* 2011, Sadiq *et al* 2017, Tai and Val Martin 2017, Hayes *et al* 2020, Seltzer *et al* 2020, Leung *et al* 2020b, Li *et al* 2022b). As plants play a vital role in regulating the ambient environment, ozone-induced damage in plants may further accelerate environmental degradation, with severe consequences for human and ecosystem health. **IOP** Publishing

#### 1.1. Ozone in a warming climate

Tropospheric  $O_3$  concentrations are controlled by emissions and climate (Jacob and Winner 2009). First, global warming and climate change in response to increased greenhouse gas concentrations can enhance stratosphere-troposphere exchange of O<sub>3</sub> (Zeng et al 2008, Jacob and Winner 2009, Fiore et al 2012). Second, increased temperature also favors O<sub>3</sub> chemical production and peroxyacyl nitrate (PAN) decomposition, which can lead to higher tropospheric O<sub>3</sub> in certain regions (e.g. Zeng et al 2008, Jacob and Winner 2009). PAN is a secondary pollutant found in photochemical smog. It dissociates slowly in the atmosphere into NO<sub>2</sub> and peroxyacetyl radicals. If PAN is formed in or convectively lifted to the troposphere, it remains stable for long enough to be transported far away from urban sources. This process is vital for tropospheric O3 production as it can indirectly transport NO<sub>x</sub> to regions where it can more efficiently produce O3. Third, enhanced convection from increased temperature transports O<sub>3</sub> precursors and lead to an increase in O<sub>3</sub> production. Lastly, lightning frequency increases with increased temperature and results in a 22% increase of lightning-produced  $NO_x$  (Zeng *et al* 2008). However, a warming climate could lead to higher water vapour in the atmosphere and a decreased in background O3 concentration. In conclusion, the background O<sub>3</sub> and climate penaltydriven pollution have opposite sensitivities to climate change (Jacob and Winner 2009, Schauberger et al 2019).

#### 1.2. Ozone impacts on agriculture

In the United States alone, crop losses due to tropospheric O3 cost more than 5 billion USD annually (Ainsworth et al 2012, Betzelberger et al 2012). It is estimated that the cost in developing countries is much higher, where agricultural techniques are less advanced, and the productivity of staple crops are susceptible to the effects of climate change via more frequent occurrences of droughts, floods, pests and disease outbreaks (The Royal Society 2008). A recent study by Li *et al* (2022a) showed that  $O_3$  damage causes 7%-19% relative yield losses in China from 2010 to 2017 for rice, wheat and soybean (Li et al 2022a). The estimated global average yield loss due to O<sub>3</sub> damage in 2000 is 5.4%–15.6% for soybean, 7.3%– 12.3% for wheat, 2.8%-3.7% for rice, 2.4%-4.1% for maize (Van Dingenen et al 2009).

Several studies have investigated the global impact of  $O_3$  on agricultural crop yields with future air quality scenarios (Van Dingenen *et al* 2009, Avnery *et al* 2011, Tai and Val Martin 2017) to the year 2030 or 2050. Van Dingenen *et al* (2009) provided the first estimate of global crop yield losses due to  $O_3$  in the future, using the optimistic 'current legislation scenario' that assumes current air quality legislation is being fully implemented in 2030. Avnery *et al* (2011) commented that such scenario is overly optimistic as legislation enforcement often lags behind the announcement. Avnery et al (2011) examined the impact of O<sub>3</sub> exposure on future crop yields using the Intergovernmental Panel on Climate Change Special Report on Emissions Scenarios (IPCC SRES) year-2030 scenarios and found that O<sub>3</sub> causes 12.1% and 16.4% soybean yield loss in 2030 for the Representative Concentration Pathway (RCP)2.6 and RCP8.5 scenarios, respectively, which the RCP2.6 and RCP8.5 scenarios cover the minima and maxima of the O<sub>3</sub> projections However, neither Avnery et al (2011) nor Van Dingenen et al (2009) account for the effects of rising CO<sub>2</sub> concentration and climate change in future scenarios, which could not fully project future crop yield changes. A recent study by Tai et al (2021) summarized three approaches of modeling O<sub>3</sub> impact on crop yields: concentration-based metrics, flux-based metrics, and mechanistic modeling. They found that only mechanistic modeling (i.e. JULES-crop) could address the co-effects of CO<sub>2</sub> fertilization. JULES-crop model is thus selected to further perform factorial simulations with the combination of RCP2.6 climate and RCP8.5 CO<sub>2</sub>, climate and O<sub>3</sub> scenarios to investigate the sensitivity of crops to these driving factors.

This study investigates future crop yield response climate change, O<sub>3</sub> and CO<sub>2</sub> and their interactions using JULES-crop over the period 2005–2100. Many existing studies (Fiore et al 2012, Schauberger et al 2019, Hayes et al 2020, Sampedro et al 2020) simulate crop response to climate projections spanning only a few years each due to computational limitations, while this study simulate long transient timeseries. This approach could help distinguish an actual anthropogenic-forced climate signal from internally generated climate variability (Nolte et al 2018). With rising  $CO_2$  expected in the RCP8.5 scenario, the exposure-yield relationship derived from concentration-based metrics would be less applicable due to the effect of CO<sub>2</sub> fertilization (Tai *et al* 2021). JULES-crop, in this case, could provide insights on how CO<sub>2</sub> interacts with O<sub>3</sub> damage on yield.

## 2. Methodology

JULES-crop is a model that parameterize and simulate crop production (Osborne *et al* 2015). Osborne *et al* (2015) showed that JULES-crop could simulate yield variation successfully using historical climate data. More detail about JULES-crop is in supplementary materials (available online at stacks.iop.org/ ERL/17/074007/mmedia). Furthermore, the effect of O<sub>3</sub> on crop is parameterized using Sitch *et al* (2007) and has been previously extensively evaluated for Soybean at a Free-air enrichment experiment by Leung *et al* (2020b). To test if JULES-crop could be used to realistically simulate future yield loss, we compared the results with the estimates from the Food and Agriculture Organisation (FAO) Working Paper

Table 1. Annual crop productions of the four major crop producing regions for each crop in million tonnes as of 2020 (FAO 2022).

Maize (million tonnes)		Wheat (million tonnes)		Soybean (million tonnes)		Rice (million tonnes)	
USA	360.25	China	134.25	Brazil	121.79	China	213.61
China	260.87	EU	126.66	USA	112.55	India	178.31
Brazil Argentina	103.96 58.39	India USA	107.59 49.69	Argentina China	48.79 19.61	Bangladesh Indonesia	54.91 54.65

**Table 2.** Summary of future transient runs with a combination of prescribed  $CO_2$  and  $O_3$ , black: climate change only, red: climate change and  $CO_2$  change, blue: climate change and  $O_3$  change, purple: combined effect of climate change,  $CO_2$  and  $O_3$  change together.

Run ID	Climatology	CO <sub>2</sub>	O <sub>3</sub>
RCP2.6	RCP2.6	Const. 2005	Const. 2005
$RCP2.6 + CO_2 + O_3$	RCP2.6	RCP2.6	RCP2.6
$RCP2.6 + CO_2$	RCP2.6	RCP2.6	Const. 2005
$RCP2.6 + O_3$	RCP2.6	Const. 2005	RCP2.6
RCP8.5	RCP8.5	Const. 2005	Const. 2005
$RCP8.5 + CO_2 + O_3$	RCP8.5	RCP8.5	RCP8.5
$RCP8.5 + CO_2$	RCP8.5	RCP8.5	Const. 2005
$RCP8.5 + O_3$	RCP8.5	Const. 2005	RCP8.5

2050 projections. Rather than being a process-based approach like JULES-crop, which represents vegetation processes and is driven by climate forcing, FAO makes yield projections based on socio-economical data. The data includes arable land availability, yield growth potentials and ceilings for present-day crops, water availability, and irrigation potential. In principle, all these characteristics may be affected by climate change (Alexandratos and Bruinsma 2012). Since FAO uses a bottom-up approach to regionspecific data, it has higher resolution and regional relevance, which could be more helpful in informing local policymakers and regional planning. The regions we selected are four of the highest yield production countries/regions for the four crops. There are eight in total: USA, China, Brazil, Argentina, EU, India, Bangladesh, Indonesia (table 1).

#### 2.1. Simulation protocol

To evaluate the performance of JULES-crop simulation, JULES-Crop is applied over the historical period from 1961 to 2005 with climatology from CruNCEP, modelled ozone fields and observed  $CO_2$  data to simulate crop yields for four major global crops: soybean, wheat, maize, and rice (see supplementary material). The order of  $O_3$  damage for the four crops simulated by JULES for year 2000 is consistent with the estimation by Van Dingenen *et al* (2009), whereby soybean has the highest sensitivity and maize has the least (supplementary material).

For future simulations, JULES was forced over the 2005–2100 period with changing CO<sub>2</sub>, O<sub>3</sub> and climate according to the RCP2.6 and RCP8.5 scenarios.

The simulations are initialized from the historical simulations from Osborne *et al* (2015). However, when a driving variable is fixed (i.e.  $O_3$  or  $CO_2$ ), it is fixed at the year-2005 value for the transient future period. The model is applied for the 2005–2100 transient simulation using a factorial design varying or fixing  $CO_2$ ,  $O_3$  and varying climate according to the RCP scenarios (table 2). Given that the historical simulations were driven with merged Climatic Research Unit and National Centre for Environmental Prediction data (CRUNCEP) and the future simulations with HadGEM2-ES climatology, and the fact that the model requires 1–2 years of spin-up for the fast fluxes (soil moisture, soil temperature and NPP), we spun up the model for 5 years using 2005 climatology before the actual simulation.

The future harvested areas for the four crops (i.e. wheat, soybean, maize, and rice) were kept constant at the year-2000 distribution as presented by Monfreda et al (2008) and Ramanutty et al (2008) since the yield changes are independent of changes in the cultivated areas. There were 13 global simulations, including eight factorial future transient simulations with combinations of the two RCP scenarios driving data prescribed with CO<sub>2</sub> and O<sub>3</sub> (table 2). RCP2.6 and RCP8.5 were selected as they represent the upper and lower boundary of future RCP and climate change scenarios. These runs were used to investigate the relative impacts of climate change, CO<sub>2</sub> and O<sub>3</sub> damage on crop yields. The relative contribution of a driving variable is calculated by subtracting the yield of the baseline RCP-2005 run from RCP (to estimate the climate change contribution),  $RCP + CO_2$  (to estimate the CO<sub>2</sub> contribution) and subtracting the baseline yield from RCP +  $O_3$  (to estimate the  $O_3$ contribution).

### 3. Results and discussions

# 3.1. Impacts of different combinations of climate, CO<sub>2</sub> and O<sub>3</sub> changes on global crop yields

As shown in figure 1, JULES-crop simulates a higher yield for all crops in RCP8.5 than in RCP2.6 if the  $CO_2$  fertilization effect is included. However, without



**Figure 1.** Global average crop yield from 2010 to 2100 for (a) maize, (b) wheat, (c) soybean and (d) rice simulated by calibrated JULES-crop in RCP2.6 and RCP8.5 scenarios with constant 2005 CO<sub>2</sub> and O<sub>3</sub> in black line, varying CO<sub>2</sub> and constant 2005 level O<sub>3</sub> in red, constant 2005 CO<sub>2</sub> and varying O<sub>3</sub> in blue and varying O<sub>3</sub> and CO<sub>2</sub> in purple.

 $CO_2$  fertilization, RCP8.5 results in a lower yield than RCP2.6 due to  $O_3$  damage and climate change alone (blue line in figure 1). The effect of  $O_3$  damage is greatest for soybean and wheat; as C3 plants they are more sensitive to  $O_3$  damage than C4 maize (Long *et al* 2005, Williams *et al* 2017).

In JULES-crop, the effect of  $CO_2$  fertilization is higher than  $O_3$  damage; the  $CO_2$  increase in RCP8.5 more than compensates the  $O_3$  damage, and in RCP2.6, the compensation cancels out the  $O_3$  damage effect for soybean and wheat.  $CO_2$  can also reduce the leaf stomatal conductance of vegetation and therefore reduce plant  $O_3$  uptake and damage. Climate change alone in RCP8.5 has a significant negative effect on yields, causing around one-third of the yield loss from 2010 to 2100 for all four crops (see supplementary materials for more information). Global soybean experiences the highest yield loss from  $O_3$  damage among the crops, losing around 1 tonne per hectare. The climate change impact on crop yields in RCP2.6 is significantly less than the impact in RCP8.5; the yield stays mainly constant from 2005 to 2100.

The  $O_3$  impact is substantially higher in RCP8.5 than in RCP2.6 (figure 1). The impact of  $O_3$  on crop yield is higher when  $CO_2$  increases are not included in the simulation. This effect is the result of a higher  $CO_2$ concentration causing stomatal closure and reducing the uptake of  $O_3$  into the stomata, which leads to a lower plant  $O_3$  impact. This means that  $CO_2$  fertilization offsets yield loss due to  $O_3$  damage. However, this mechanism does not apply to maize and rice in the RCP8.5 scenario (figure 1(a): maize and 1d:



rice), whereby including  $O_3$  does not further reduce the yield compared to the standard scenario (without  $CO_2-O_3$  interactions). The result suggests that climate change dominates the changes in maize and rice yields, which can be explained by the regional analysis in the next session. Figure 2 shows that soybean is the most sensitive to  $O_3$  damage, followed by wheat. Rice and maize have similar  $O_3$  damage sensitivity. All three C3 plants (soybean, rice and wheat) show higher sensitivity to  $CO_2$  rise than the only C4 plant (maize) among the staple crops. Under the combined effect of  $CO_2$  fertilization and O<sub>3</sub> damage, soybean shows a slightly higher compensation effect than the other C3 crops when compared with simulations without enhanced CO<sub>2</sub> fertilization. All C3 crops show similar percentage yield change when RCP8.5 + CO<sub>2</sub> + O<sub>3</sub> are compared with the climate change-only scenario.

The general yield loss of the four crops due to  $O_3$  damage simulated by JULES-crop are consistent with other studies. Together with results from previous studies, we show that the sensitivity of the four crops to  $O_3$  are ranked in the order from high to low as soybean, wheat, rice, and maize, with soybean being the most sensitive and maize being the least (Van Dingenen *et al* 2009, Feng *et al* 2022). Maize is the least sensitive because as a C4 plant, maize has an efficient  $CO_2$ -concentrating mechanism, which essentially decouples stomatal conductance and the ensuing ozone damage from photosynthesis.

Climate change-only simulations show that temperature and precipitation change in RCP8.5 scenarios have a considerable negative impact on crop yield. Figures S4 and S5 shows that all crops are negatively impacted by climate change, with rice most impacted. This is because most rice-producing countries are concentrated in the tropical regions, where climate change would cause temperature rises above the optimal temperature threshold of rice (Erda *et al* 2005, Parry *et al* 2005, Auffhammer *et al* 2012).

In the RCP8.5 scenario, the simulated crop yield for all four crops decreased due to climate change alone (see supplementary materials for more detail). Yields for all four crops fall by more than 7%, according to table S4. These simulated reductions in yields resulting from climate change are mainly due to increasing temperature and frequency and intensity of extreme weather events such as storms (Villarini and Vecchi 2012) as reported by many other studies (Kang *et al* 2009, Asseng *et al* 2014, Porter *et al* 2014, Levis *et al* 2016, Mills *et al* 2018, Schauberger *et al* 2019). The reductions will be explained in the next section.

According to figures 1, S4 and table S4, on a global scale, RCP8.5 climate with RCP8.5 + CO<sub>2</sub> + O<sub>3</sub> scenarios simulate increases in yields for all crops. It increases the most for soybean (>60%), and then wheat and rice (20%-30%), and it increases the least for maize (<6%). The fact that maize has the smallest increase in yield of the four crops is due to the phenology of C4 plants. In RCP2.6 scenario, the CO<sub>2</sub> concentration increases to 440 ppm in 2050 and decreases back to 400 ppm in around 2075. Therefore, the overall CO<sub>2</sub> fertilization effect in RCP2.6 is small compared to the RCP8.5 scenario. When the CO<sub>2</sub> fertilization effect is not considered, O<sub>3</sub> damage is very noticeable; yields decrease for all crops in 2050 with the largest decline in soybean (22%) and wheat (16%); yield reductions in rice and maize are smaller in comparison (< 8%). O<sub>3</sub> damage on soybean is higher than on other crops in general. According to UNEP (2018), the average  $O_3$  induced soybean yield loss is around 23%, while other crops are less than 10%. Mills *et al* (2018), using multiple observations, also concluded that soybean is more sensitive to  $O_3$  than other crops. Osborne *et al* (2016) showed that the  $O_3$  sensitivity of soybean has increased overtime by one third between 1960–2000. It is possible that selective breeding strategies that target high yield and high stomatal conductance has inadvertently selected for soybean cultivars that have higher  $O_3$  sensitivity overtime (Osborne *et al* 2016).

Figure 3 summarizes the factorial runs by plotting the global yield change from 2010 to 2100 according to the annual CO<sub>2</sub> and O<sub>3</sub> concentration combinations. The colour of the data points represent the yield change. The yield for all crop types decreases when CO<sub>2</sub> is below 430 ppm. When CO<sub>2</sub> is above 500 ppm, even with a high O<sub>3</sub> concentration, the yield still increases. For RCP8.5 + O<sub>3</sub> scenario, with increasing O<sub>3</sub> concentration alone (the horizontal straight line), yields decrease for soybean and wheat because they are both O<sub>3</sub> sensitive crops. Since O<sub>3</sub> damage is most significant during the crop growing period, the regional seasonal O<sub>3</sub> concentrations were plotted in figure S1.

At the global scale,  $O_3$  damage impact on rice yield is not as large as expected (Pang *et al* 2009, Tang *et al* 2011) according to figures 1 and 3. Therefore, we look at the regional yield change to find which regions contribute to the reduction of yield in RCP8.5 when  $CO_2$  and  $O_3$  are not included in the model in the next section.

# 3.2. Impacts of $O_3$ and $CO_2$ alone on regional crop yield

Usually, crop growing seasons coincide with high- $O_3$  seasons. Figure 4 shows that higher  $O_3$  concentrations during the growing season negatively correlate with yield for all regions. According to figure 4, using the RCP8.5 scenario, the higher the  $O_3$  concentration during the growing season, the more crop yield will be affected by higher temperatures. This effect is also reported by Van Dingenen *et al* (2009) and Avnery *et al* (2011), who used a concentration-based approach to estimate yield loss.

In general, in RCP2.6, decreases in  $O_3$  concentrations are not mainly due to an assumed clean air policy but rather due to a climate change mitigation policy, which reduces emissions of the greenhouse gases such as CH<sub>4</sub> and postulates a transition to more renewable energy production (Chalmers *et al* 2012, Wild *et al* 2012, Jones and Warner 2016). The reduction of  $O_3$  is a co-benefit of the decline in  $O_3$  precursors (CH<sub>4</sub>, NO*x*, CO) from the transition to renewable energy. Therefore, this suggests that climate change mitigation is as important as clean air policy in improving food security. However,



regarding the yield improvement alone on a plant physiology perspective, a clean air policy will be more beneficial as  $CO_2$  fertilization affords a substantial yield increase, and it can compensate for the  $O_3$  damage on crop yield.

According to figures S6–S8 in RCP8.5, soybean, wheat, and rice experience a significant yield increase in all regions, although  $O_3$  concentration increases because of the strong  $CO_2$  fertilization that overshadows the damaging effect of  $O_3$  (figure 5). Since maize is a C4 crop less sensitive to  $CO_2$  and  $O_3$  increases, it shows a slight increase in yield. RCP2.6 in figure S3 shows that  $O_3$  concentration decreases in 2030, resulting in increased yield in all regions. The yield increase in RCP2.6 is around 10%–15%, while RCP8.5 could be up to 80%.

# 3.3. Impact of climate change alone on regional crop yield

Figure 6 implies that majority of the rice yield reduction is because of climate change. The climate change in Indonesia contributes to the largest decline of rice yield from 6.5 to 4.0 tons ha<sup>-1</sup>, mainly due to increases in ambient air temperature with the annual average temperature over 30 °C from 2070 (figure 4).

In the RCP8.5 scenario, Asian countries experience a high frequency of extreme weather events with heatwaves of temperatures greater than 30 °C projected to increase by 131% (Lee *et al* 2014) compared to the current climate (figure 6). The frequency of heavy rainfall is expected to increase by 24% in RCP8.5 for some regions, as shown in figure S5. These extreme weather events would result in frequent floods and droughts (Lee *et al* 2014). In the RCP8.5 scenario, an intensified monsoon season over East Asia is projected. Since all the key rice-producing countries are in East Asia, this significantly impacts the yield. Advancements in agronomical technology would not be quick enough to adapt to the changing climate (Jagadish *et al* 2012, Scheben *et al* 2016).

Since heatwaves in East Asia usually coincide with the rice growing season, it has an enormous impact on yield. Nevertheless, high  $CO_2$  concentrations in the RCP8.5 scenario offset the yield loss from climate change.

# 3.4. Comparison between JULES-crop and Food and Agriculture Organisation yield projections

In table 3, JULES-crop yield is compared against the FAO Working Paper 2050 projections. According to this data, the FAO projection is much more optimistic than JULES-crop using the RCP8.5 and 2.6 projections. All four crops show a higher yield growth in FAO data than JULES-crop RCP8.5 and 2.6 scenarios simulations. The difference is that because





FAO projections are based on socioeconomic factors, it is fundamentally different from the biophysical factors JULES-crop uses. Among the four crops, soybean yield growth for RCP8.5 is the closest to FAO projection, suggesting that soybean calibration improves the yield estimation (table 3).

JULES-crop future simulations allow a comparative study of human and climate influence on crop yields. In general, climate change,  $O_3$  damage and  $CO_2$  fertilization also result from human activities. We can divide the factors into active and passive human influences. Active human influences includes land use change, fertilizer application, irrigation, and other management methods, which follow primarily socioeconomic and technological development. Passive influences are the biophysical effects that JULES-crop currently represents, i.e. impacts of climate change,  $O_3$  damage and  $CO_2$  fertilization on yields. FAO statistics consider the active but not the passive influences. The crop production projection in the FAO report (Alexandratos et al 2015) was based on demand and trade projections. Demand and trade were based on demographic and socioeconomic projections for each country. Alexandratos et al (2015) argues that future yield growth is attained mainly by closing the yield gap in developing countries, whereas yield ceilings have already been reached in some developed countries. Mills et al (2018) also found that O<sub>3</sub> damage is one of the most important factors that contribute to yield gap, especially  $O_3$  sensitive crops, soybean and wheat (Mills *et al* 2018). The FAO report does not account for extreme weather events or pest infestation outbreaks, and it also neglects CO<sub>2</sub> fertilization, leading to a considerable underestimation of productivity. Therefore, it tends to be overly optimistic (table 3). While JULEScrop does not have nitrogen limitation, for the RCP scenarios with CO2 and O3, it is thus also overoptimistic, assuming that the CO<sub>2</sub> effect would be fully translated into yield growth (Long et al 2004).





**3.5. Impact of increasing CO<sub>2</sub> on human nutrition** Besides carbohydrates, a healthy diet should include nutrients such as protein, phosphate, and minerals.

simulated by JULES.

(Tulchinsky 2010). A phenomenon has been observed in non-legume C3 crops during high  $CO_2$  conditions. The crops cannot assimilate sufficient nitrogen

	FAO			RCP8.5 $CO_2 + O_3 + climate$			RCP2.6 $CO_2 + O_3 + climate$			
Crops	2010	2050	Difference	2010	2050	Difference	2010	2050	Difference	
Maize Wheat Soybean	4.74 2.77 2.3	6.06 3.82 3.2	+1.22 +26% +1.05 +38% +0.9 +39% +1.25 +3196	2.83 2.13 3.41	2.93 2.54 4.15	+0.09 +3.4% +0.41 +19% +0.75 +22%	2.75 2.06 3.31	2.77 2.38 3.84	+0.02 +0.32 +0.54 +0.25	+1% +15% +16%

**Table 3.** Comparison of crop yield (Mg ha<sup>-1</sup> yr<sup>-1</sup>) FAO projection and JULES-crop simulations of RCP8.5 and RCP2.6 scenarios in 2010 and 2050.

from soils to maintain the usual C:N ratios in tissues (Bloom et al 2012, Myers et al 2014). This phenomenon has been known as 'carbohydrate dilution', in which CO<sub>2</sub> stimulated carbohydrate production by plants dilutes the rest of the grain components. Of all the elements, changes in nitrogen content at elevated CO<sub>2</sub> concentrations have been the most studied. This carbohydrate dilution effect has been observed consistently in most crops but less significant on leguminous crops. Research suggested high CO<sub>2</sub> concentration could stimulate greater nitrogen fixation to maintain tissue C:N ratios (Erda et al 2005, Myers et al 2014). As projections showed that atmospheric CO<sub>2</sub> would likely continue to increase in the future, programmes such as biofortification, supplementation and breeding crops cultivar for decreased sensitivity to CO<sub>2</sub> would help address the nutrient deficiency caused by CO<sub>2</sub> fertilization.

# 4. Conclusions

In conclusion, results from global JULES-crop simulations suggest that rising  $CO_2$  has the greatest impact on global crops yield, climate change is the second most important, and  $O_3$  pollution has a relatively smaller impact (except for soybean). This study shows that on a regional scale, the relative impact of climate,  $CO_2$  and  $O_3$  would be different from the global scale as  $O_3$  air quality and climatic changes (e.g. in precipitation and temperature) varies considerably spatially.

This study demonstrates the co-benefits of climate mitigation and air quality improvement are the most powerful policies to develop. CO<sub>2</sub> fertilization may offset part of the adverse effects of climate change and air pollution. Still, such benefits of CO<sub>2</sub> fertilization would not be sustainable if the damaging effects of climate penalties and air pollution continues. CO2 fertilization would also reduce the nutrient content of crops and may lead to potential malnutrition in food-insecure countries. In the long run, climate mitigation and clean air should combine with technology advancement of crop science. Continued development of cultivars that are heat tolerant, O3 tolerant and supplemented with nutrients would help improve food security and human nutrition in the world of growing population.

## Data availability statement

The data that support the findings of this study are available upon reasonable request from the authors.

## Acknowledgments

Felix Leung gratefully acknowledges financial support from the NERC CASE Studentship with Met Office (NE/J017337/1), 'Impact of tropospheric O<sub>3</sub> on crop production under future climate and atmospheric CO<sub>2</sub> concentrations, and their interactions within the Earth System'. Felix Leung is also funded by the Research Sustainability of Major RGC Funding Schemes of The Chinese University of Hong Kong (Project No. 3133189), the Vice-Chancellor's Discretionary Fund of The Chinese University of Hong Kong (Project No. 4930744) and the CUHK-University of Exeter Joint Centre for Environmental Sustainability & Resilience (ENSURE) fund (Project No. 4930820). We acknowledge the following AmeriFlux sites for their data records: US-Ne1, US-Ne1, and US-Ne3. In addition, funding for Ameri-Flux data resources and core site data was provided by the US Department of Energy's Office of Science. Gerd Folberth wishes to acknowledge support by the Met Office Hadley Centre Climate Programme funded by BEIS and Defra (GA01101) and additional funding through the EU Horizon 2020 CRES-CENDO project, Grant 641816. Stephen Sitch wishes to acknowledge support by NERC (NE/R001812/1). The authors would also like to acknowledge Karina Williams, Anna Harper, and Eddy Robertson for the help in technical part of JULES, and Wu Chao for the valuable comments on the manuscript

### **ORCID** iDs

Felix Leung b https://orcid.org/0000-0003-1053-165X

Stephen Sitch line https://orcid.org/0000-0003-1821-8561

Amos P K Tai 
https://orcid.org/0000-0001-5189-6263

Andrew J Wiltshire in https://orcid.org/0000-0001-7307-173X

Gerd A Folberth https://orcid.org/0000-0002-1075-440X

Nadine Unger la https://orcid.org/0000-0001-7739-2290

## References

- Ainsworth E A, Yendrek C R, Sitch S, Collins W J and Emberson L D 2012 The effects of tropospheric ozone on net primary productivity and implications for climate change *Annu. Rev. Plant Biol.* **63** 637–61
- Alexandratos N and Bruinsma J Global Perspective Studies Team 2012 World Agriculture Towards 2030/2050 FAO ESA Working Paper **12-03** 1–154 (available at: www.fao. org/3/ap106e/ap106e.pdf)
- Asseng S et al 2014 Rising temperatures reduce global wheat production Nat. Clim. Change 5 143–7
- Auffhammer M, Ramanathan V and Vincent J R 2012 Climate change, the monsoon, and rice yield in India *Clim. Change* 111 411–24
- Avnery S, Mauzerall D L, Liu J and Horowitz L W 2011 Global crop yield reductions due to surface ozone exposure: 2. Year 2030 potential crop production losses and economic damage under two scenarios of O<sub>3</sub> pollution *Atmos. Environ.* 45 2297–309
- Betzelberger A M, Yendrek C R, Sun J, Leisner C P, Nelson R L, Ort D R and Ainsworth E A 2012 Ozone exposure response for U.S. soybean cultivars: linear reductions in photosynthetic potential, biomass, and yield *Plant Physiol*. 160 1827–39
- Bloom A J, Asensio J S R, Randall L, Rachmilevitch S, Cousins A B and Carlisle E A 2012  $CO_2$  enrichment inhibits shoot nitrate assimilation in  $C_3$  but not  $C_4$  plants and slows growth under nitrate in  $C_3$  plants *Ecology* **93** 355–67
- Chalmers N, Highwood E J, Hawkins E, Sutton R and Wilcox L J 2012 Aerosol contribution to the rapid warming of near-term climate under RCP 2.6 *Geophys. Res. Lett.* 39 L18709
- Erda L, Wei X, Hui J, Yinlong X, Yue L, Liping B and Liyong X 2005 Climate change impacts on crop yield and quality with CO<sub>2</sub> fertilization in China *Phil. Trans. R. Soc.* B **360** 2149–54
- Feng Z *et al* 2022 Ozone pollution threatens the production of major staple crops in East Asia *Nat. Food* **3** 47–56
- Feng Z, Pang J, Kobayashi K, Zhu J and Ort D R 2011 Differential responses in two varieties of winter wheat to elevated ozone concentration under fully open-air field conditions *Glob. Change Biol.* 17 580–91
- Fiore A M *et al* 2012 Global air quality and climate *Chem. Soc. Rev.* **41** 6663–83
- Hayes F, Sharps K, Harmens H, Roberts I and Mills G 2020 Tropospheric ozone pollution reduces the yield of African crops J. Agron. Crop Sci. 206 214–28
- Jacob D J and Winner D A 2009 Effect of climate change on air quality *Atmos. Environ.* **43** 51–63
- Jagadish S V K *et al* 2012 Genetic advances in adapting rice to a rapidly changing climate *J. Agron. Crop Sci.* **198** 360–73 Jones G A and Warner K J 2016 The 21st century
- population-energy-climate nexus *Energy* Policy **93** 206–12
- Kang Y, Khan S and Ma X 2009 Climate change impacts on crop yield, crop water productivity and food security—a review *Prog. Nat. Sci.* 19 1665–74
- Lee J-W, Hong S-Y, Chang E-C, Suh M-S and Kang H-S 2014 Assessment of future climate change over East Asia due to the RCP scenarios downscaled by GRIMs-RMP *Clim. Dyn.* 42 733–47
- Leung F, Pang J Y S, Tai A P K, Lam T, Tao D K C and Sharps K 2020a Evidence of ozone-induced visible foliar injury in Hong Kong using phaseolus vulgaris as a bioindicator *Atmosphere* **11** 266
- Leung F, Williams K, Sitch S, Tai A P K, Wiltshire A, Gornall J, Ainsworth E A, Arkebauer T and Scoby D 2020b Calibrating soybean parameters in JULES 5.0 from the US-Ne2/3 FLUXNET sites and the SoyFACE-O<sub>3</sub> experiment *Geosci. Model Dev.* **13** 6201–13
- Levis S, Badger A, Drewniak B, Nevison C and Ren X 2016 CLMcrop yields and water requirements: avoided impacts by choosing RCP 4.5 over 8.5 *Clim. Change* 146 1–15

F Leung et al

- Li D, Shindeli D, Ding D, Lu X, Zhang L and Zhang Y 2022a Surface ozone impacts on major crop production in China from 2010 to 2017 *Atmos. Chem. Phys.* 22 2625–38
- Li L, Li J, Wang X, Wang W, Leung F, Liu X and Wang C 2022b Growth reduction and alteration of nonstructural carbohydrate (NSC) allocation in a sympodial bamboo (Indocalamus decorus) under atmospheric O<sub>3</sub> enrichment *Sci. Total Environ.* **826** 154096
- Long S P, Ainsworth E A, Leakey A D B and Morgan P B 2005 Global food insecurity. treatment of major food crops with elevated carbon dioxide or ozone under large-scale fully open-air conditions suggests recent models may have overestimated future yields *Phil. Trans. R. Soc.* B **360** 2011–20
- Long S P, Ainsworth E A, Rogers A and Ort D R 2004 Rising atmospheric carbon dioxide: plants FACE the future *Annu. Rev. Plant Biol.* **55** 591–628
- Mills G *et al* 2018 Closing the global ozone yield gap: quantification and cobenefits for multistress tolerance *Glob. Change Biol.* **24** 4869–93
- Monfreda C, Ramankutty N and Foley J A 2008 Farming the planet: 2. Geographic distribution of crop areas, yields, physiological types, and net primary production in the year 2000 *Glob. Biogeochem. Cycles* 22
- Myers S S *et al* 2014 Increasing CO2 threatens human nutrition Nature **510** 139–42
- Nolte C G, Spero T L, Bowden J H, Mallard M S and Dolwick P D 2018 The potential effects of climate change on air quality across the conterminous US at 2030 under three representative concentration pathways *Atmos. Chem. Phys.* **18** 15471–89
- Osborne S A, Mills G, Hayes F, Ainsworth E A, Büker P and Emberson L 2016 Has the sensitivity of soybean cultivars to ozone pollution increased with time? An analysis of published dose-response data *Glob. Change Biol.* 22 3097–111
- Osborne T, Gornall J, Hooker J, Williams K, Wiltshire A, Betts R and Wheeler T 2015 JULES-crop: a parametrisation of crops in the joint UK land environment simulator *Geosci. Model Dev.* **8** 1139–55
- Pang J, Kobayashi K and Zhu J 2009 Yield and photosynthetic characteristics of flag leaves in Chinese rice (*Oryza sativa* L.) varieties subjected to free-air release of ozone *Agric. Ecosyst. Environ.* 132 203–11
- Parry M, Rosenzweig C and Livermore M 2005 Climate change, global food supply and risk of hunger *Phil. Trans. R. Soc.* B 360 2125–38
- Porter J R et al 2014 Food security and food production systems Climate Change 2014: Impacts, Adaptation, and Vulnerability. Part A: Global and Sectoral Aspects. Contribution of Working Group II to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change (Cambridge: Cambridge University Press) pp 485–533
- Ramankutty N, Evan A T, Monfreda C and Foley J A 2008 Farming the planet: 1. Geographic distribution of global agricultural lands in the year 2000 *Glob. Biogeochem. Cycles* 22
- Sadiq M, Tai A P K, Lombardozzi D and Val Martin M 2017 Effects of ozone–vegetation coupling on surface ozone air quality via biogeochemical and meteorological feedbacks *Atmos. Chem. Phys.* **17** 3055–66
- Sampedro J, Waldhoff S T, Van de Ven D J, Pardo G, Van Dingenen R, Arto I, Del Prado A and Sanz M J 2020 Future impacts of ozone driven damages on agricultural systems *Atmos. Environ.* 231 117538
- Schauberger B, Rolinski S, Schaphoff S and Müller C 2019 Global historical soybean and wheat yield loss estimates from ozone pollution considering water and temperature as modifying effects *Agric. For. Meteorol.* **265** 1–15
- Scheben A, Yuan Y and Edwards D 2016 Advances in genomics for adapting crops to climate change *Curr. Plant Biol.* 6 2–10

- Seltzer K M, Shindell D T, Kasibhatla P and Malley C S 2020 Magnitude, trends, and impacts of ambient long-term ozone exposure in the United States from 2000 to 2015 *Atmos. Chem. Phys.* **20** 1757–75
- Sitch S, Cox P M, Collins W J and Huntingford C 2007 Indirect radiative forcing of climate change through ozone effects on the land-carbon sink *Nature* 448 791–4
- Tai A P K, Martin M V and Heald C L 2014 Threat to future global food security from climate change and ozone air pollution *Nat. Clim. Change* **4** 817–21
- Tai A P K, Sadiq M, Pang J Y S, Yung D H Y and Feng Z 2021
   Impacts of surface ozone pollution on global crop yields: comparing different ozone exposure metrics and incorporating co-effects of CO<sub>2</sub> *Front. Sustain. Food Syst.* 5 534616
- Tai A P K and Val Martin M 2017 Impacts of ozone air pollution and temperature extremes on crop yields: spatial variability, adaptation and implications for future food security *Atmos. Environ.* 169 11–21
- Tang H, Liu G, Han Y, Zhu J and Kobayashi K 2011 A system for free-air ozone concentration elevation with rice and wheat: control performance and ozone exposure regime *Atmos. Environ.* 45 6276–82
- The Royal Society 2008 Ground-level ozone in the 21st century: future trends, impacts and policy implications *Sci.*

Policy 15 1–148 (available at: www.royalsociety.org/Groundlevel-ozone-in-the-21st-century-future-trends-impactsand-policy-implications-/)

- Tulchinsky T H 2010 Micronutrient deficiency conditions: global health issues *Public Health Rev.* **32** 243–55
- UNEP 2018 Air Pollution in Asia and the Pacific: Science based Solutions - Summary UNEP (United Nations Environment Programme) (available at: https://wedocs.unep.org/20. 500.11822/26861)
- Van Dingenen R, Dentener F J, Raes F, Krol M C, Emberson L and Cofala J 2009 The global impact of ozone on agricultural crop yields under current and future air quality legislation *Atmos. Environ.* **43** 604–18
- Villarini G and Vecchi G A 2012 Twenty-first-century projections of North Atlantic tropical storms from CMIP5 models *Nat. Clim. Change* **2** 604–7
- Wild O et al 2012 Modelling future changes in surface ozone: a parameterized approach Atmos. Chem. Phys. 12 2037–54
- Williams K, Gornall J, Harper A, Wiltshire A, Hemming D, Quaife T, Arkebauer T and Scoby D 2017 Evaluation of JULES-crop performance against site observations of irrigated maize from Mead, Nebraska *Geosci. Model Dev.* 10 1291–320
- Zeng G, Pyle J A and Young P J 2008 Impact of climate change on tropospheric ozone and its global budgets *Atmos. Chem. Phys. Atmos. Chem. Phys.* **8** 369–87